

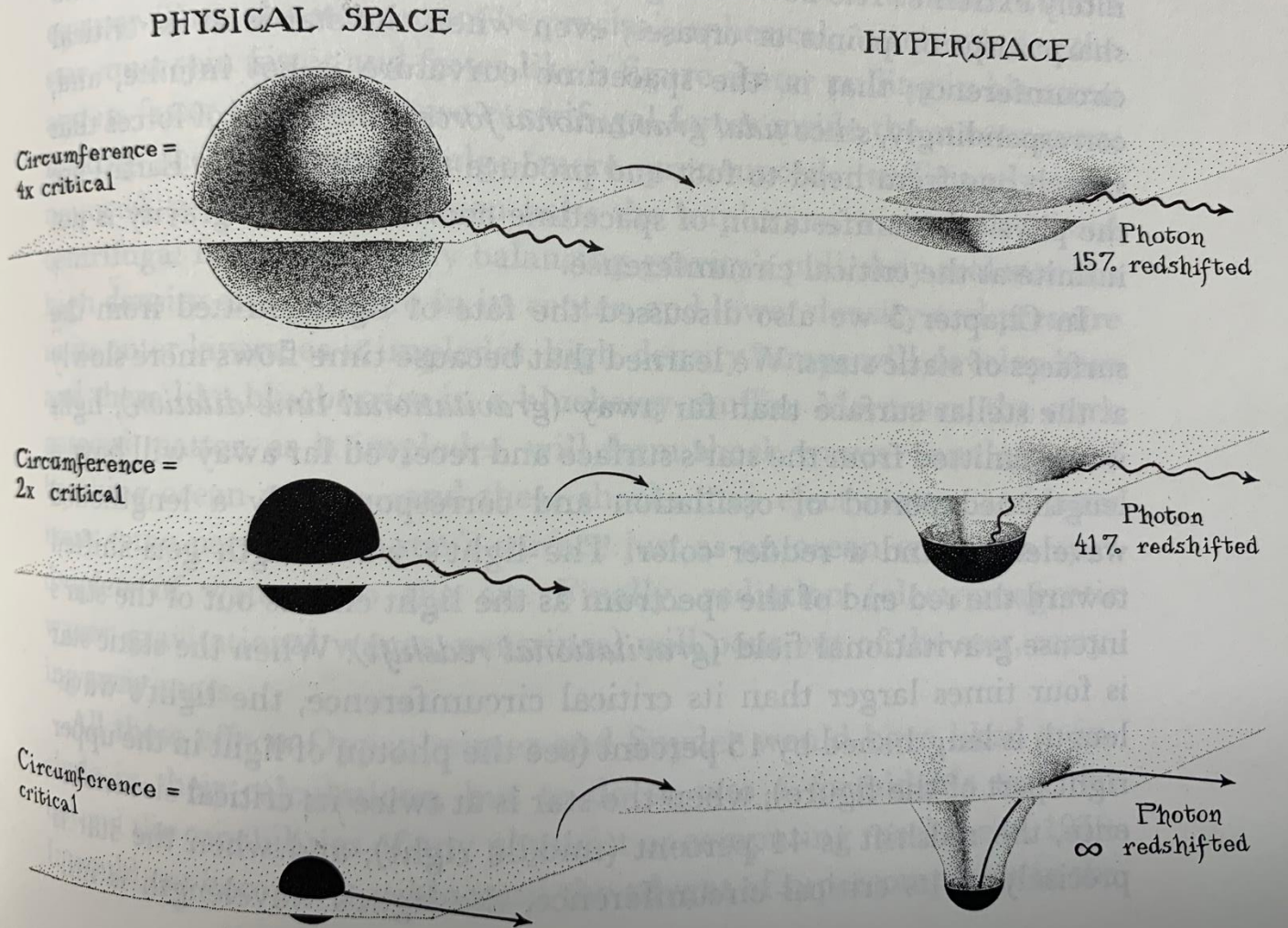


$$ds^2 = \left(1 - \frac{2Gm}{c^2 r}\right) c^2 dt^2 - \frac{1}{\left(1 - \frac{2Gm}{c^2 r}\right)} dr^2 - (r)^2 (d\theta^2 + \sin^2(\theta) d\phi^2)$$

The Schwarzschild spacetime metric

Karl Schwarzschild

6.2 (Same as Figure 3.4.) General relativity's predictions for the curvature of space and the redshift of light from a sequence of three highly compact, static (non-imploding) stars that all have the same mass but have different circumferences.





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## 18.6 - Bending of Light by the Sun

From problem 16.2, the geodesic equation for the photon is  $\frac{dp^\alpha}{d\lambda} + \Gamma^\alpha_{\beta\gamma} p^\beta p^\gamma = 0$ , where  $\vec{p} = \frac{d}{d\lambda}$  = 4-momentum of photon = tangent vector to world line of photon. In a weak gravitational field, the photon moves along this geodesic, a slight deflection from the world line  $x=t, y=b, z=0$ .

To evaluate  $\frac{dp^\alpha}{d\lambda}$ , we need the connection coefficients for the metric

$$ds^2 = -(1 - \frac{2M}{r}) dt^2 + (1 + \frac{2M}{r})(dx^2 + dy^2 + dz^2) \quad r = (x^2 + y^2 + z^2)^{1/2}$$

$$\frac{dp^\alpha}{d\lambda} = -\Gamma^\alpha_{\beta\gamma} p^\beta p^\gamma = -(\Gamma^\alpha_{00} p^0 p^0 + 2\Gamma^\alpha_{x0} p^x p^0 + \Gamma^\alpha_{xx} p^x p^x)$$

(since  $p^y \approx p^z \approx 0$ ).

To evaluate the connection coefficients, we note that

$$g_{00,x} = g_{xx,x} = g_{yy,x} = -\frac{1}{2} \cdot (2x) \cdot 2M (x^2 + y^2 + z^2)^{-3/2} = -\frac{2Mx}{r^3}$$

$$g_{00,y} = g_{xx,y} = g_{yy,y} = -\frac{2My}{r^3} \quad g_{00,z} = g_{xx,z} = g_{yy,z} = 0$$

and

$$\Gamma^\alpha_{00} = \frac{1}{2} \cdot \eta^{\alpha\mu} (g_{\mu 0,0} + g_{0\mu,0} - g_{00,\mu}) = \frac{1}{2} (-g_{00,y}) = \frac{My}{r^3}$$

$$\Gamma^\alpha_{x0} = \frac{1}{2} (g_{yx,0} + g_{0x,y} - g_{00,y}) = 0$$

$$\Gamma^\alpha_{xx} = \frac{1}{2} (g_{yx,x} + g_{xy,x} - g_{xx,y}) = \frac{My}{r^3}$$

recalling that, in linearized theory, indices are raised and lowered with the Minkowski metric. The geodesic equation therefore yields

$$\frac{dp^\alpha}{d\lambda} = -(\Gamma^\alpha_{00} p^0 p^0 + \Gamma^\alpha_{xx} p^x p^x) = -\frac{My}{r^3} (p^0 p^0 + p^x p^x)$$

$$\approx -\frac{2My}{r^3} p^x p^x \quad (\text{since } p^0 \approx p^x)$$

$$= -\frac{2My}{r^3} p^x \frac{dx}{d\lambda} \quad (\text{since } p^x = \frac{2}{2x} \cdot \vec{p} = \frac{2}{2x} \cdot (\frac{d}{d\lambda}) = \frac{2}{2x} \cdot (\frac{2}{2x} \frac{dx}{d\lambda}) = \frac{dx}{d\lambda})$$

$$\approx -\frac{2Mb}{(x^2 + b^2)^{3/2}} p^x \frac{dx}{d\lambda} \quad (\text{approximating } y=b \text{ throughout})$$

18.6 - cont.

Since the photon's path must be a null geodesic,  $\vec{p} \cdot \vec{p} = 0$ . If  $|p_y| \ll p^0 \approx p^x$ , this implies that

$$\vec{p} \cdot \vec{p} \approx g_{00} p^0 p^0 + g_{xx} p^x p^x = 0$$

$$\Rightarrow (p^x)^2 (1 + \frac{2M}{r}) = (p^0)^2 (1 - \frac{2M}{r})$$

$$p^x = p^0 \sqrt{\frac{1 + \frac{2M}{r}}{1 - \frac{2M}{r}}} = p^0 \sqrt{\frac{1 - 4M^2/r^2}{1 - 4M^2/r^2 + 4M^2/r^2}} \quad \checkmark$$

The minimum value of  $r$  is  $b$ , so approximating to first order in  $\frac{M}{b}$  gives a maximum deviation (ignoring  $|p_y|$ ) of

$$p^x \approx p^0 (1 - \frac{4M}{b})^{1/2} \approx p^0 (1 + \frac{2M}{b}) \quad \checkmark$$

As shown in problem 18.7,  $p_0$  = constant of motion  $\checkmark$

$\Rightarrow p_0 = \eta_{00} p^0$  = constant of motion (indices raised with  $\eta_{\mu\nu}$  in linearized theory). Thus  $p^x = \text{const.} (1 + O(\frac{M}{b}))$ . For the bending of light by the sun,  $b > R_\odot \Rightarrow \frac{M}{b} \ll 1$ , so  $p^x$  can be treated as essentially constant over the photon's path.

This allows a solution for  $p^y(x \rightarrow \infty)$ :

$$\frac{dp^y}{d\lambda} = -\frac{2Mb}{(x^2 + b^2)^{3/2}} p^x \frac{dx}{d\lambda} \Rightarrow dp^y = -2Mb p^x (x^2 + b^2)^{-3/2} dx$$

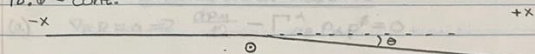
$$\Rightarrow \int_{-\infty}^{\infty} dp^y = -2Mb p^x \cdot 2 \int_0^{\infty} (x^2 + b^2)^{-3/2} dx \quad \checkmark$$

$$p^y(x \rightarrow \infty) - p^y(x \rightarrow -\infty) = -4Mb p^x \left( \frac{x}{b^2 \sqrt{x^2 + b^2}} \right) \Big|_0^{\infty}$$

$$p^y(x \rightarrow \infty) = -4Mb p^x \cdot \left( \frac{1}{b^2} \right) = -\frac{4M}{b} p^x \quad \checkmark$$

$$\text{For } p^y(x \rightarrow -\infty) = 0$$

18.6 - cont.



The photon is deflected by an angle  $\theta$  as shown in the figure where  $\sin \theta \approx \theta = \left| \frac{p^y}{p^x} \right|$ .

$$\text{As shown already } \left| \frac{p^y}{p^x} \right| = \frac{4M}{b}$$

$$\text{So } \theta = \frac{4M}{b} = \frac{4}{b} \left( R_\odot \cdot \frac{M_\odot}{R_\odot} \right) = \frac{4R_\odot}{b} \cdot \left( \frac{1.48 \times 10^5 \text{ cm}}{6.96 \times 10^{10} \text{ cm}} \right)$$

$$= 8.5 \times 10^{-6} \left( \frac{R_\odot}{b} \right) \text{ radians} = 1.75'' \left( \frac{R_\odot}{b} \right) \quad \checkmark$$



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18.6 - Bending of Light by the Sun

From problem 16.2, the geodesic equation for the photon is  $\frac{dp^\alpha}{d\lambda} + \Gamma_{\beta\gamma}^\alpha p^\beta p^\gamma = (\nabla_{\hat{p}} \hat{p})^\alpha = 0$ , where  $\hat{p} = \frac{d}{d\lambda}$  = 4-momentum of photon = tangent vector to world line of photon. In a weak gravitational field, the photon moves along this geodesic a slight deflection from the world line  $x=t, y=b, z=0$ .

To evaluate  $\frac{dp^\alpha}{d\lambda}$ , we need the connection coefficients for the metric

$$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \left(1 + \frac{2M}{r}\right) (dx^2 + dy^2 + dz^2) \quad r = (x^2 + y^2 + z^2)$$

$$\frac{dp^y}{d\lambda} = -\Gamma_{\alpha\beta}^y p^\alpha p^\beta = -(\Gamma_{00}^y p^0 p^0 + 2\Gamma_{x0}^y p^x p^0 + \Gamma_{xx}^y p^x p^x)$$

(since  $p^y \approx p^z = 0$ ).

To evaluate the connection

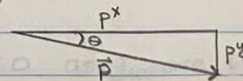
$$g_{00,x} = g_{xx,x} = g_{yy,x} = -\frac{1}{2} \cdot (2x) \cdot 2M$$

18.6 - cont.

-x

+x

The photon is deflected by an angle  $\theta$  as shown in the figure where  $\sin\theta \cong \theta = \frac{|p_y|}{p_x}$ .



As shown already  $\frac{|p_y|}{p_x} = \frac{4M}{b}$

$$\text{So } \theta = \frac{4M}{b} = \frac{4}{b} \left( R_\odot \cdot \frac{M_\odot}{R_\odot} \right) = \frac{4R_\odot}{b} \cdot \left( \frac{1.48 \times 10^5 \text{ cm}}{6.96 \times 10^{10} \text{ cm}} \right)$$

$$= 8.5 \times 10^{-6} \left( \frac{R_\odot}{b} \right) \text{ radians} = 1.75'' \left( \frac{R_\odot}{b} \right)$$

## Theory of black holes: brief history

- 1915: Einstein completes theory of General Relativity (GR).
- 1916: Schwarzschild discovers exact solution. Einstein and others reject the idea of “Schwarzschild singularities” with event horizons.

1930s:

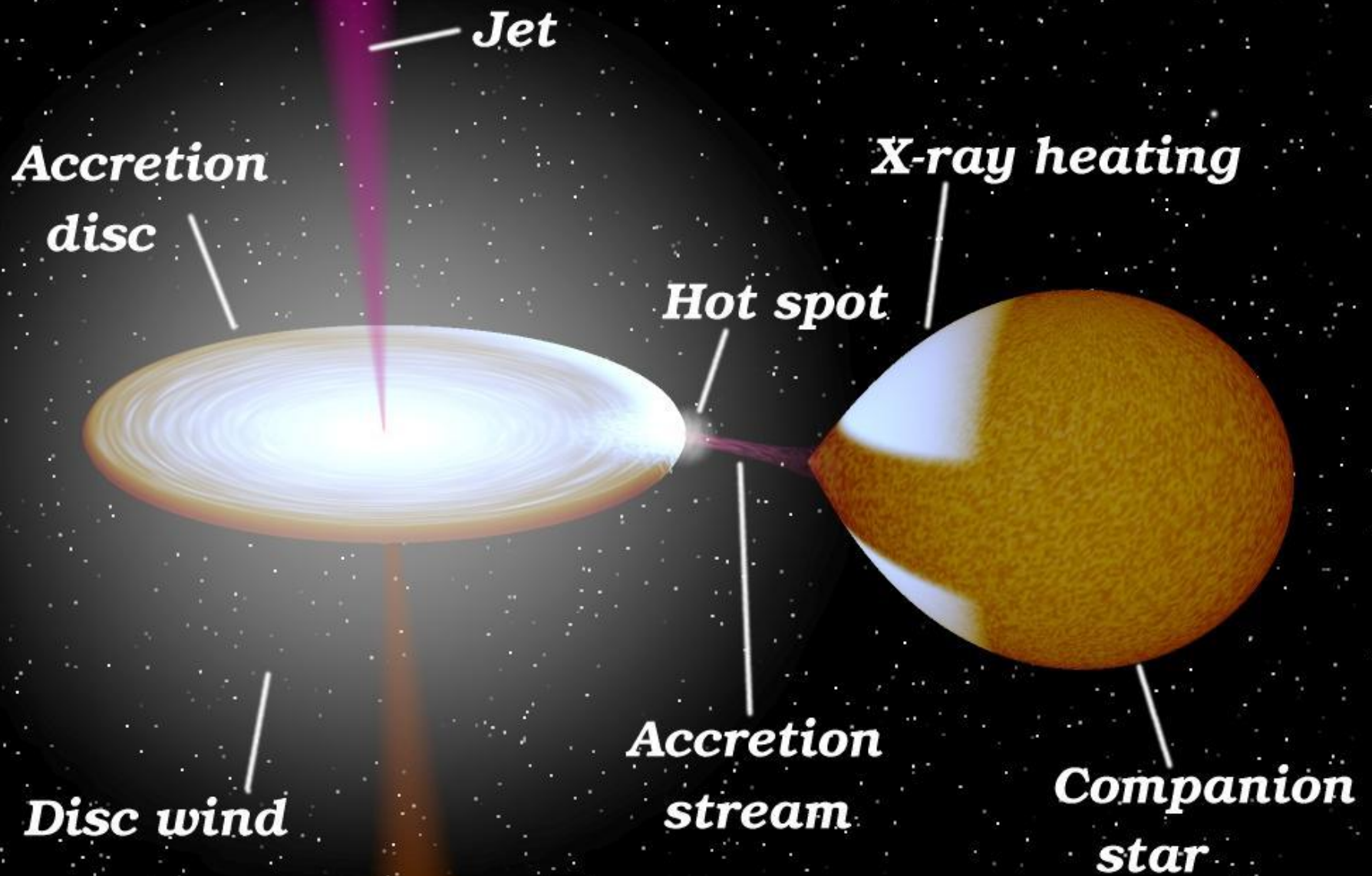
- Chandrasekhar -- maximum mass of white dwarfs.
- Zwicky – neutron stars, formed in supernovae
- Oppenheimer & Volkoff – maximum mass of neutron stars
- Oppenheimer & Snyder – calculations of stellar implosion. “Frozen star” or “collapsed star” depending on reference frame.

1960s

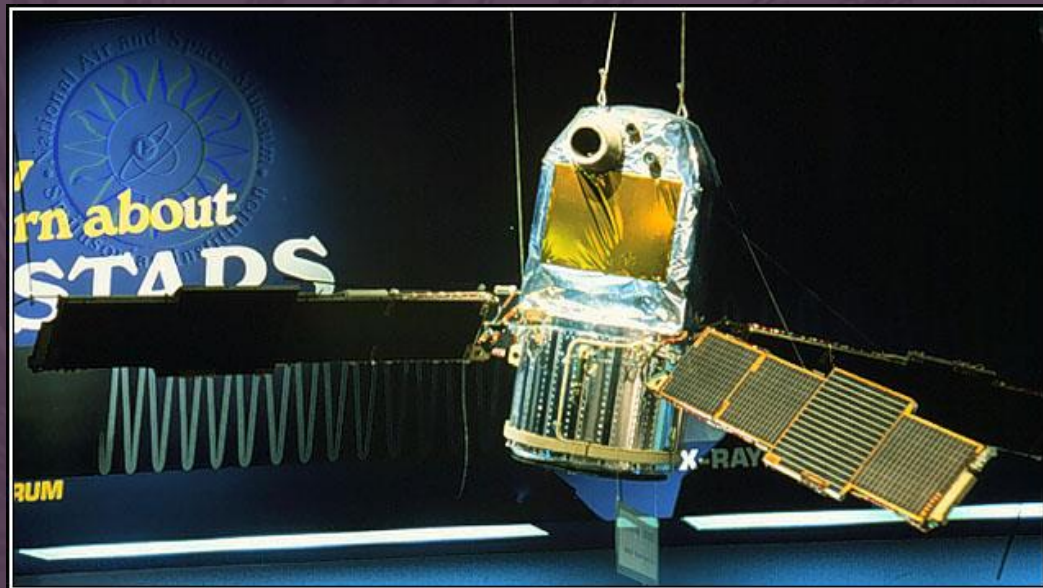
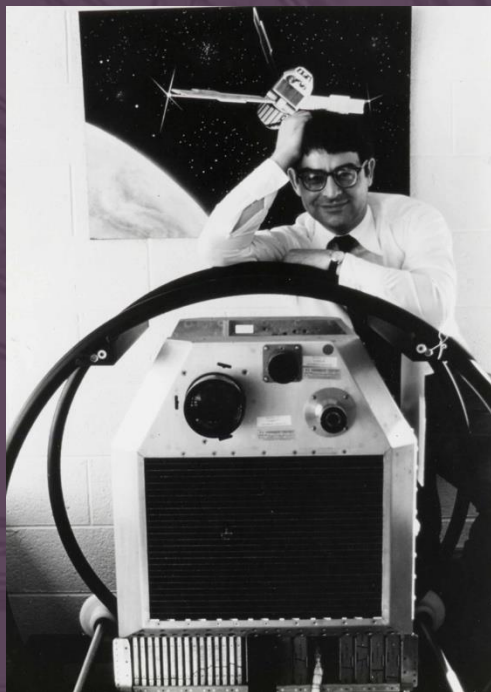
- Finkelstein (1958) – “Non-fishy” description of Schwarzschild spacetime.
- Wheeler (1967) – coins the term “black hole”.
- Various – Proof that departures from spherical symmetry don’t prevent collapse.
- Kerr (1963) – New exact solution to Einstein’s equations, describes the spacetime around spinning black holes.

Pulsars (spinning neutron stars) are discovered in 1967.





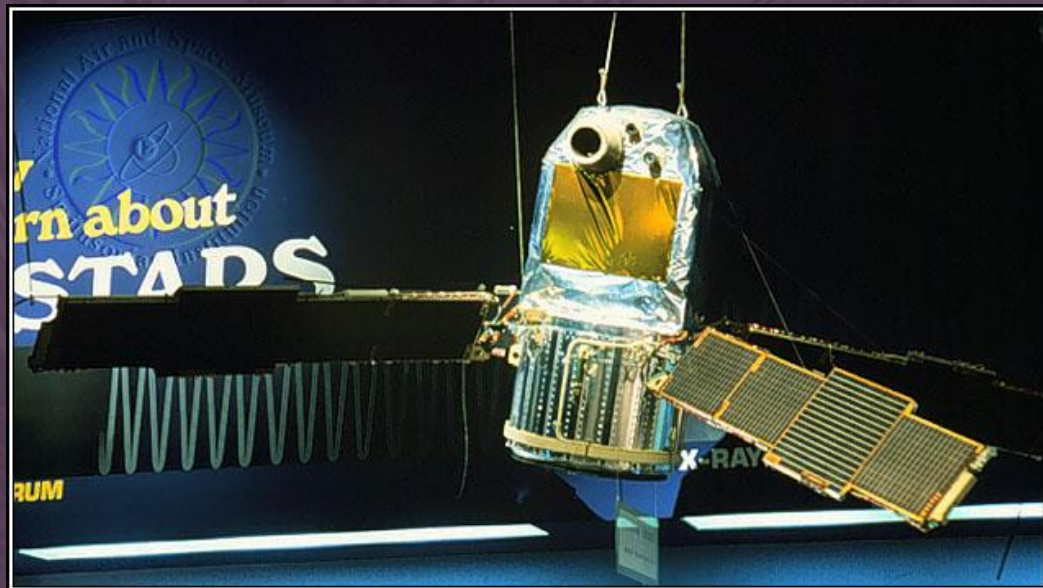
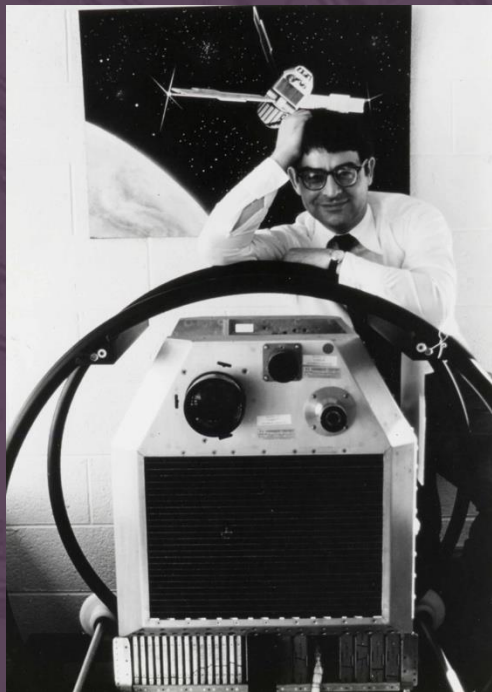
## Uhuru satellite, first X-ray telescope



Ricardo Giacconi –  
Lead scientist of  
Uhuru, winner of 2002  
Nobel Prize in Physics

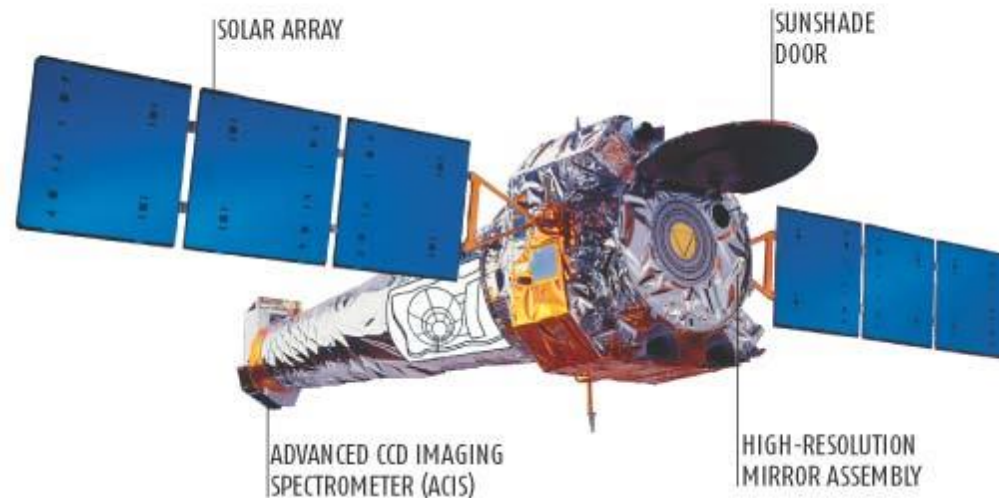


## Uhuru satellite, first X-ray telescope



### CHANDRA X-RAY TELESCOPE

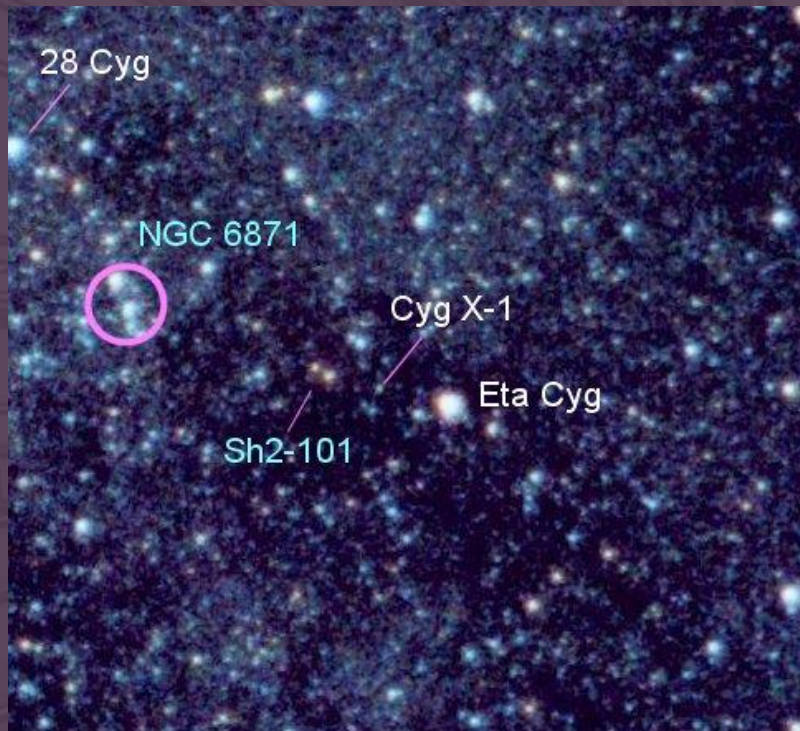
Grease coating a filter in front of the ACIS camera is blocking out almost half the light at low energies



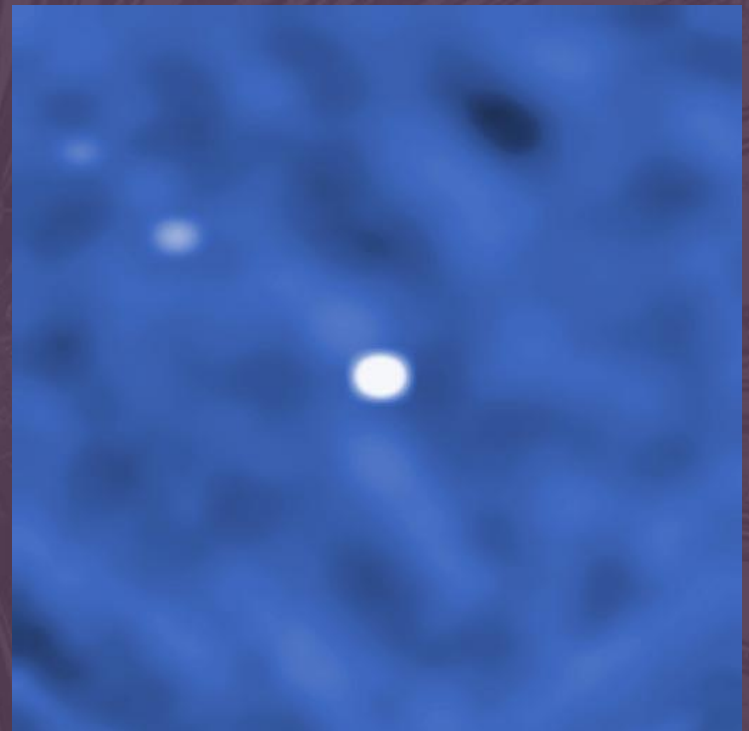
Nested “mirrors” of Chandra  
X-ray telescope



## Optical light



## Gamma rays



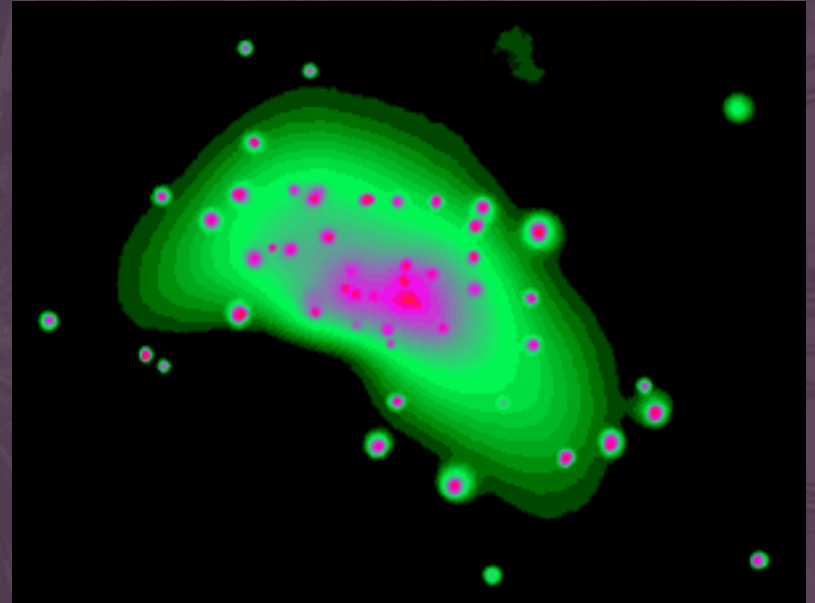
(INTEGRAL satellite, neutron star Cyg X-3 at upper left)

# Galaxy NGC 4697

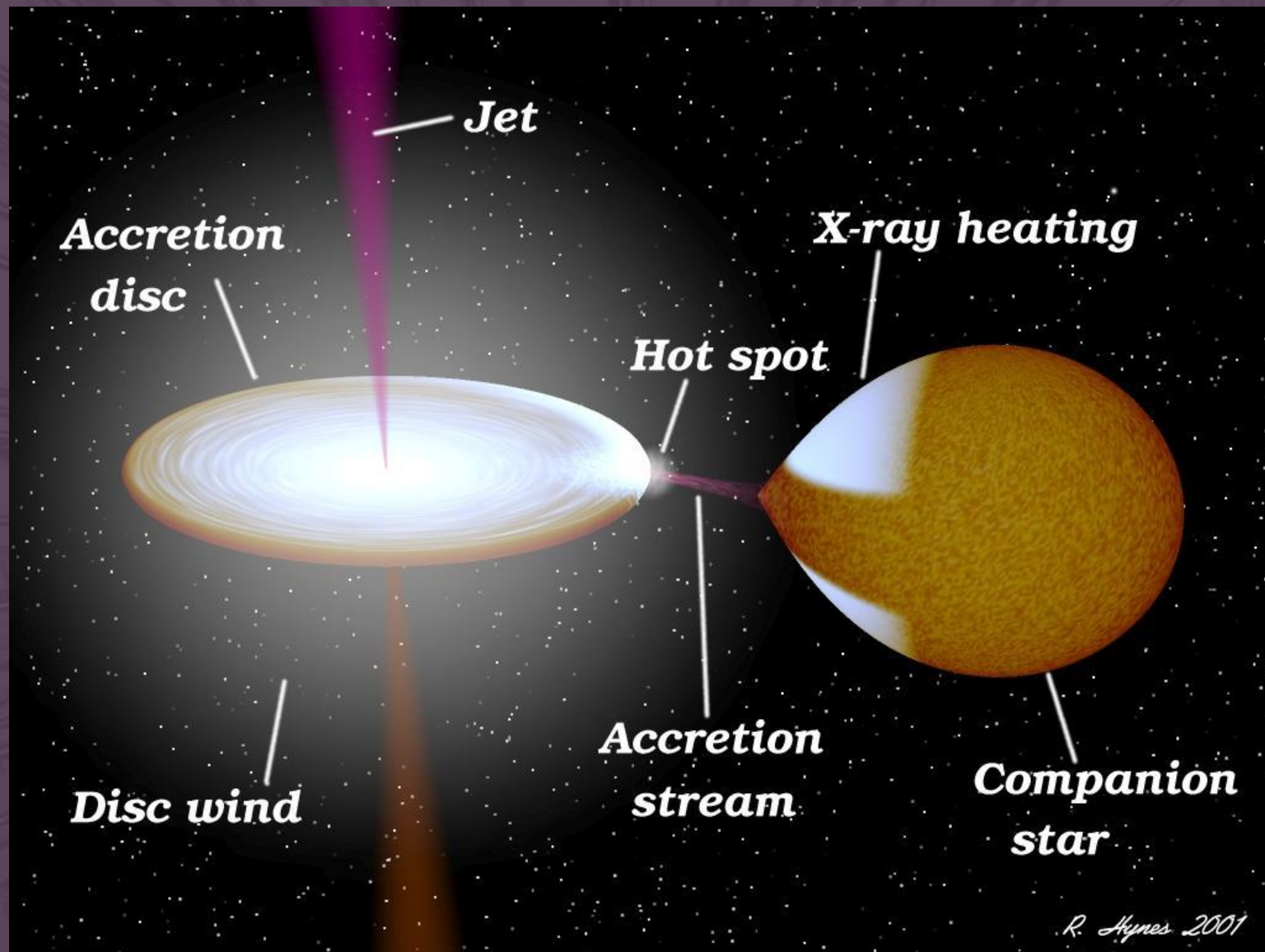
Optical light

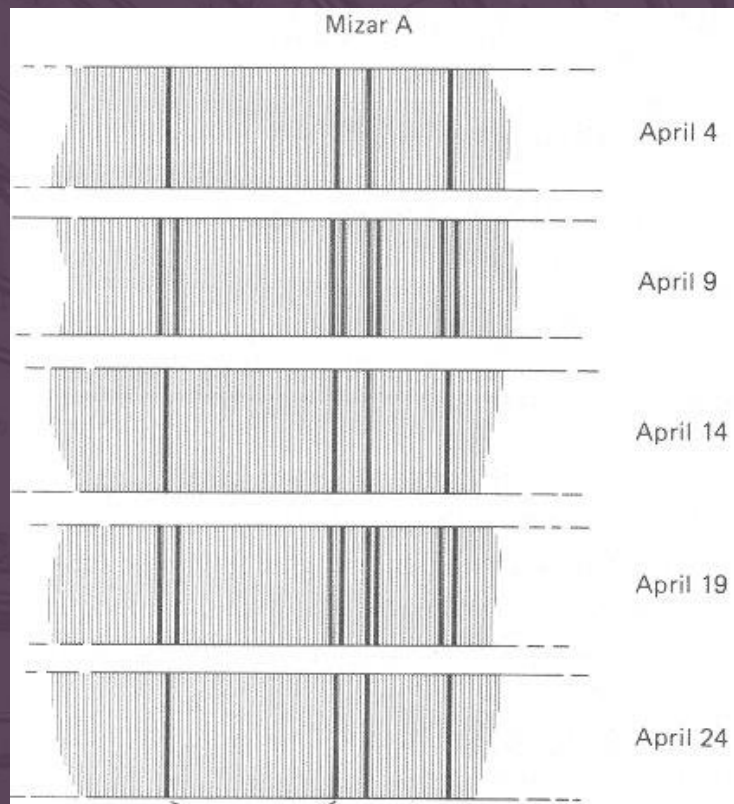


X-rays

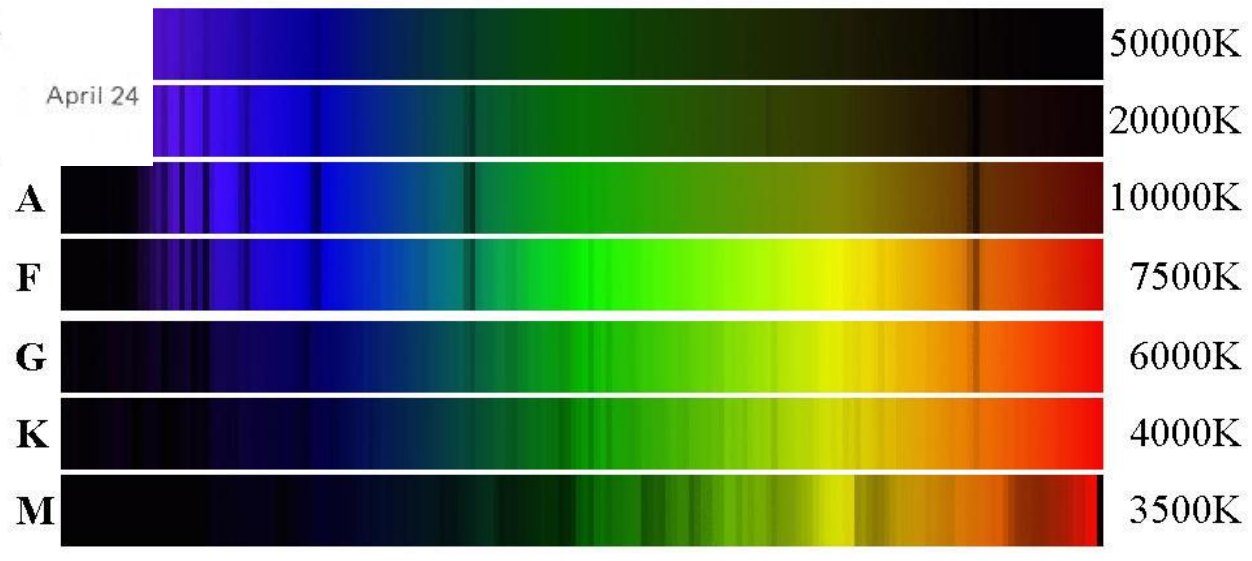






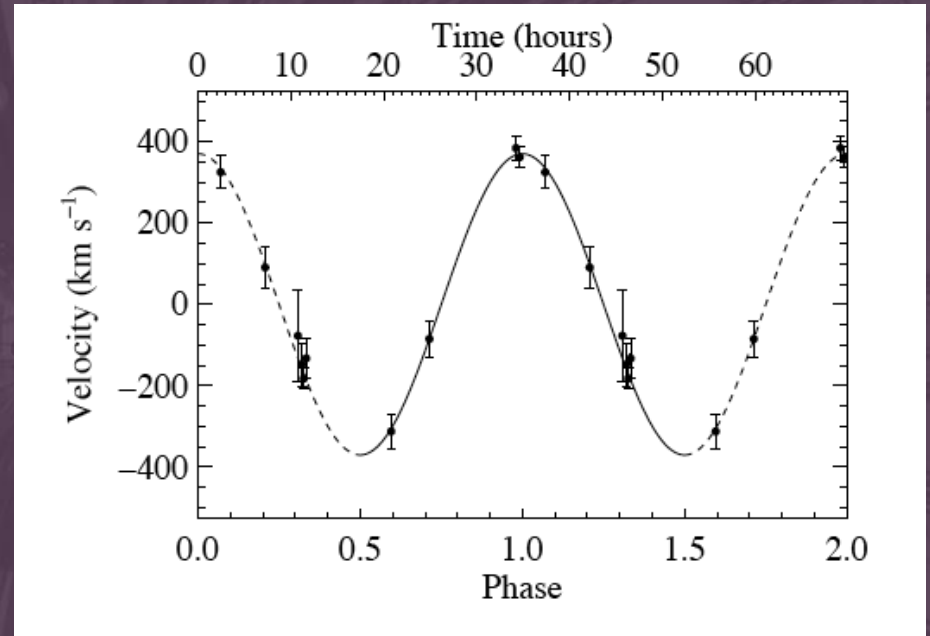
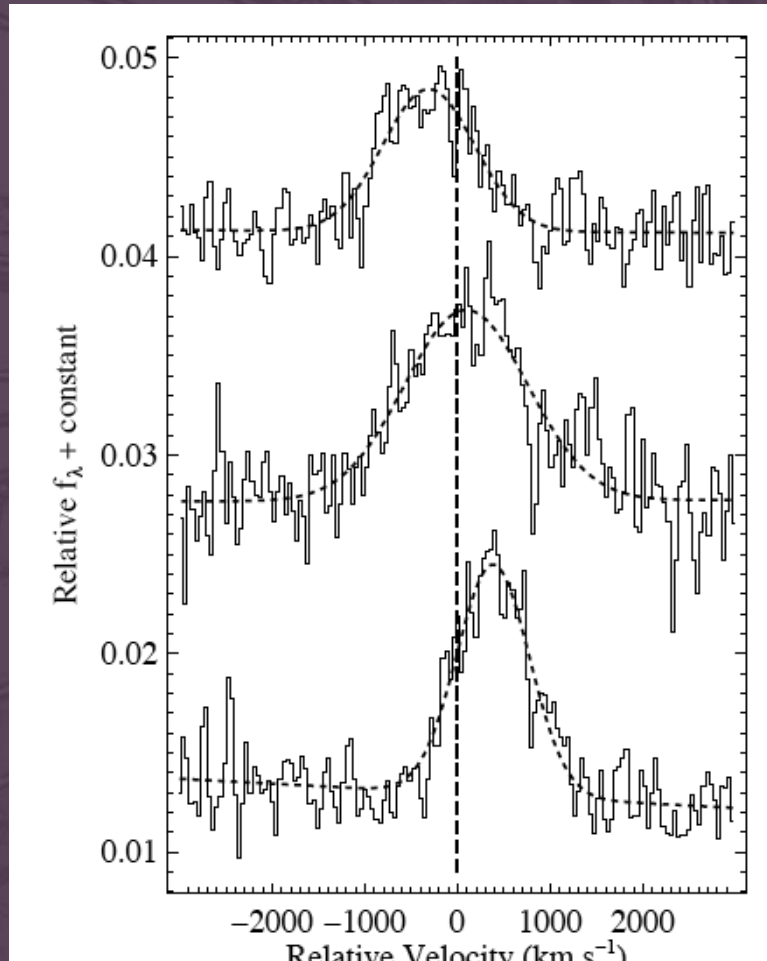


See Thorne Fig 8.3



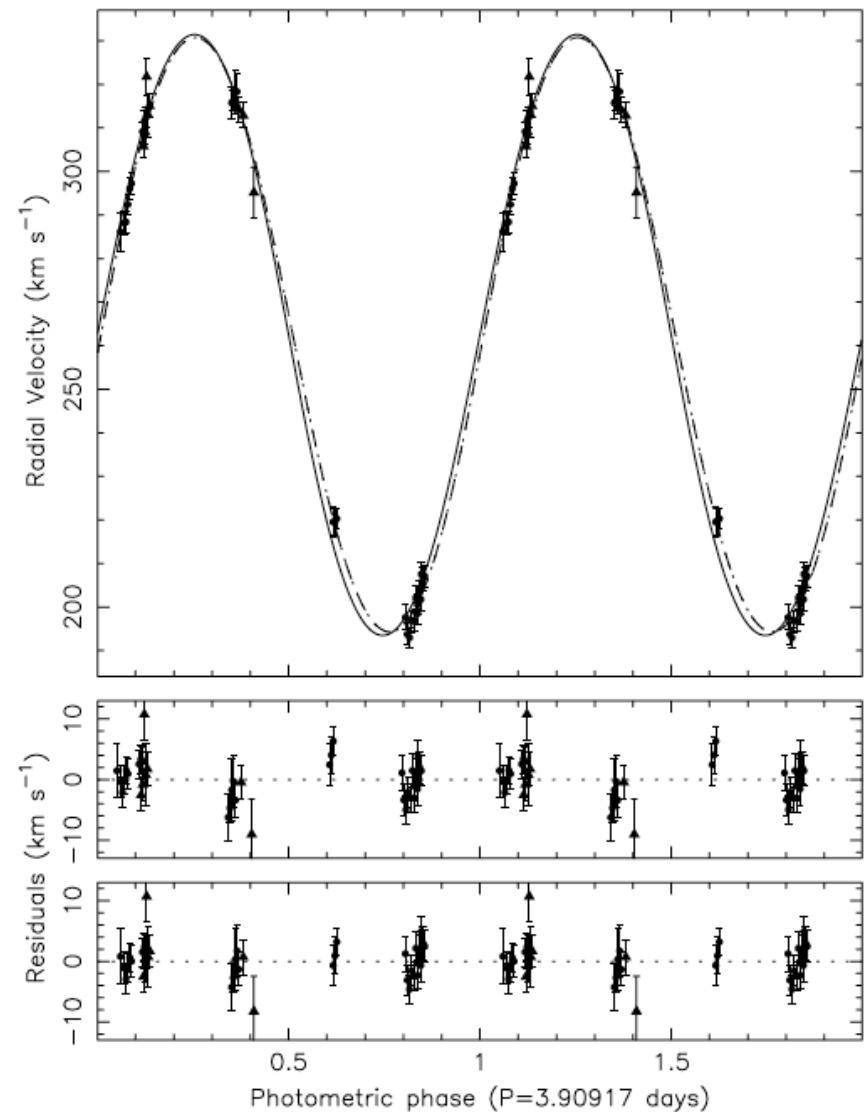
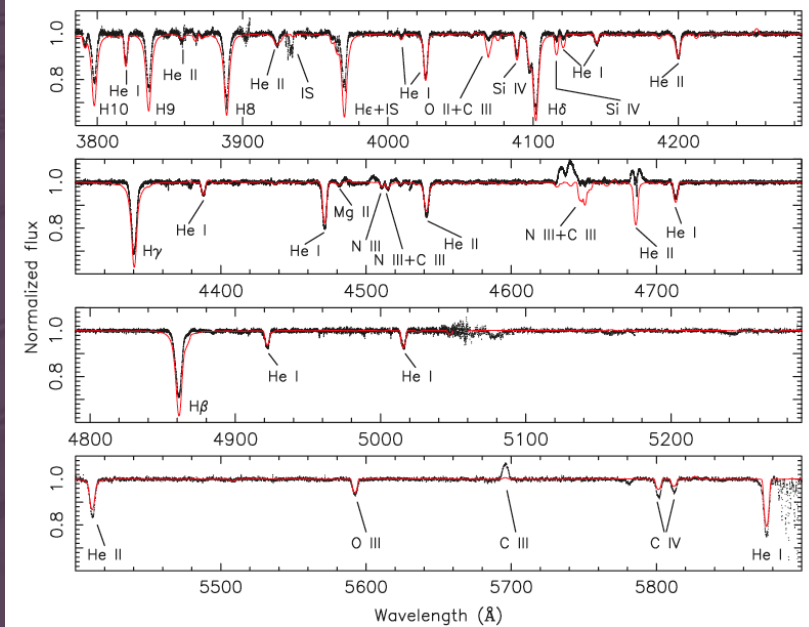
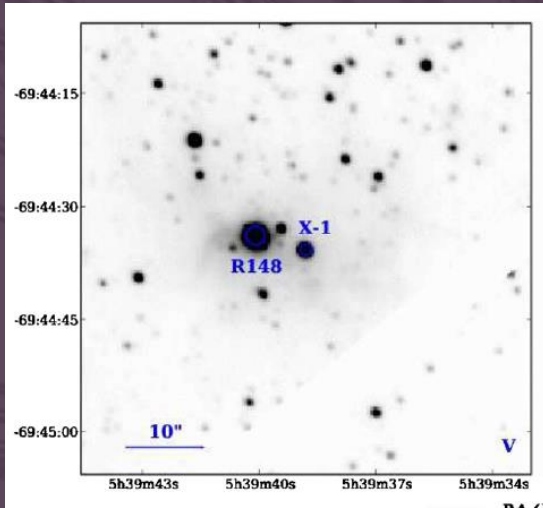


Silverman & Filippenko 2008, confirmation of  $33 \pm 3 M_{\odot}$  black hole in a nearby galaxy (IC 10),



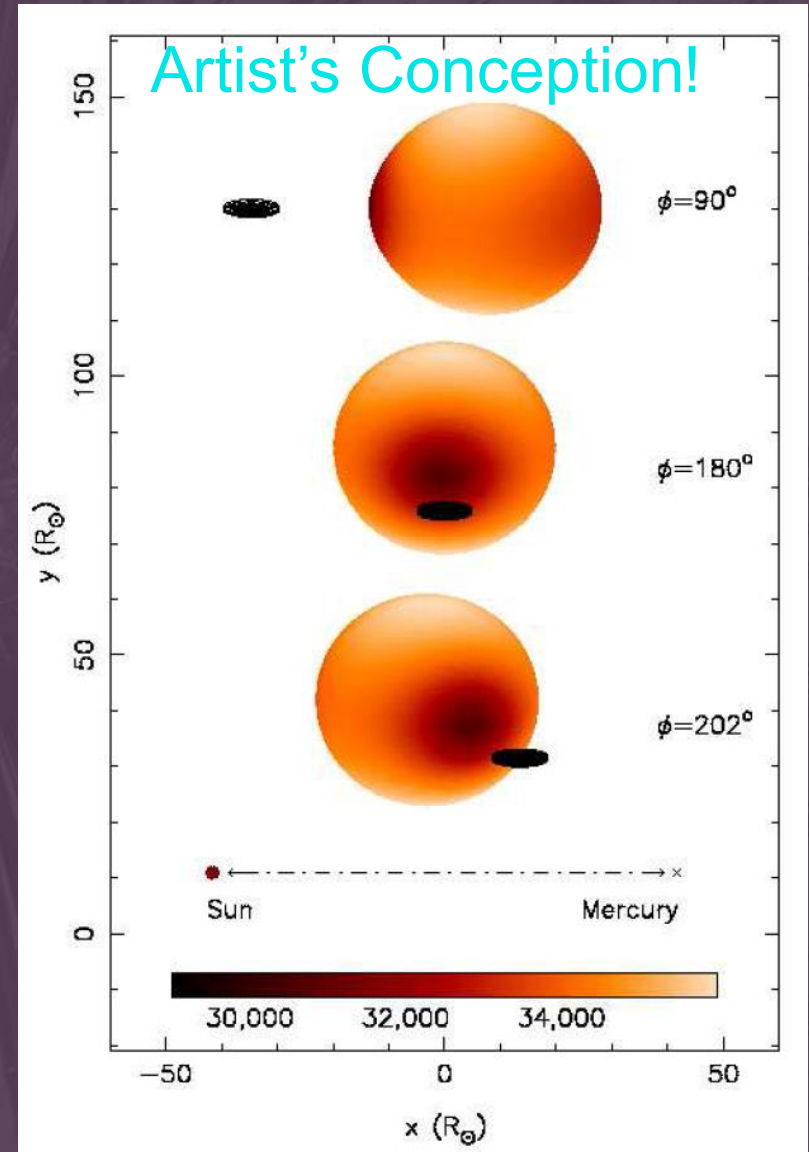
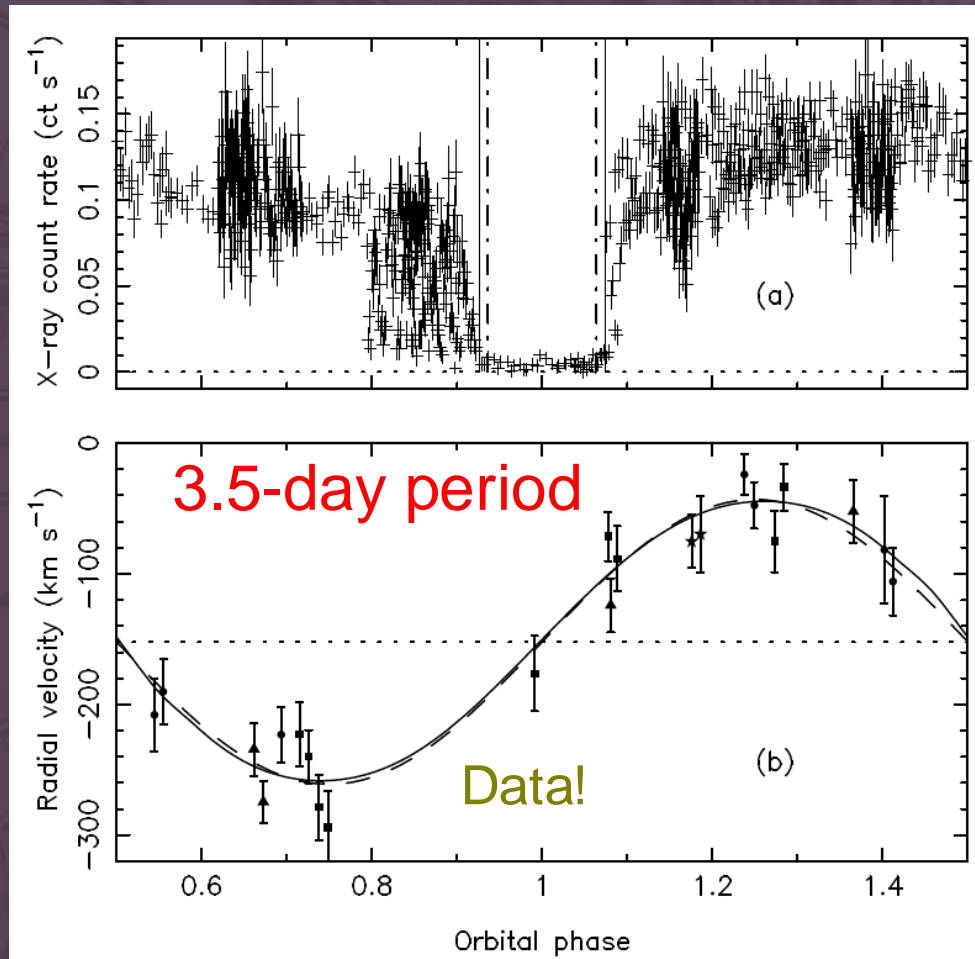
Helium emission line

# Orosz et al. 2009, LMC X-1, $M_{\text{BH}} = 10.9 \pm 1.4 M_{\odot}$

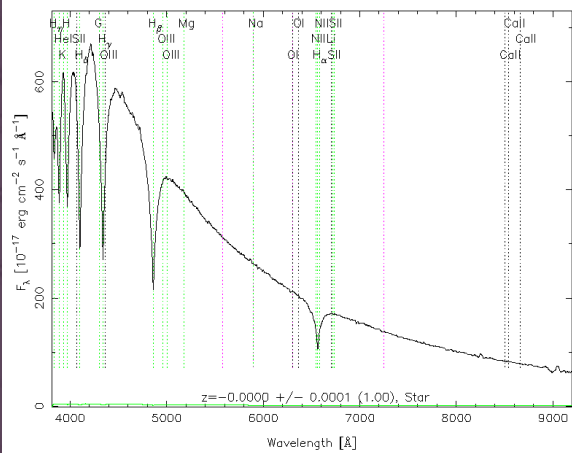




Orosz et al. 2007, a  $15.7M_{\odot}$  BH  
in nearby galaxy M33, eclipsed  
by its  $70 M_{\odot}$  companion

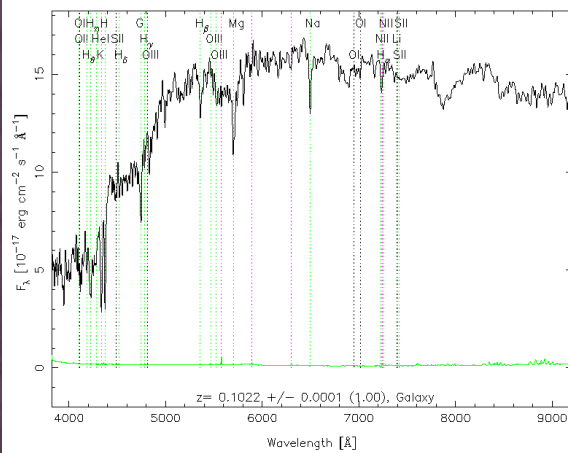


RA=10.09531, DEC=-0.35835, MJD=51793, Plate= 392, Fiber= 63



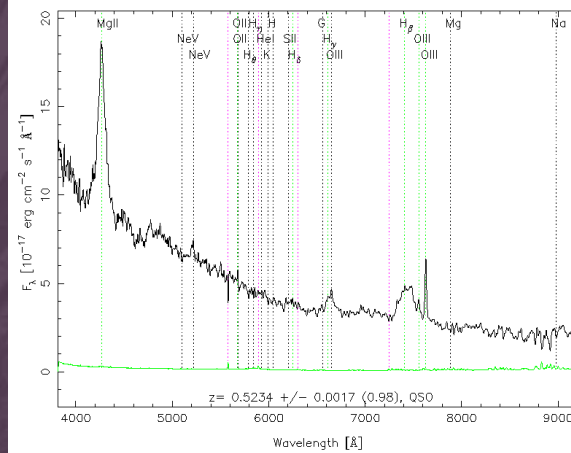
White dwarf

RA=135.62673, DEC=52.04779, MJD=51992, Plate= 552, Fiber=463



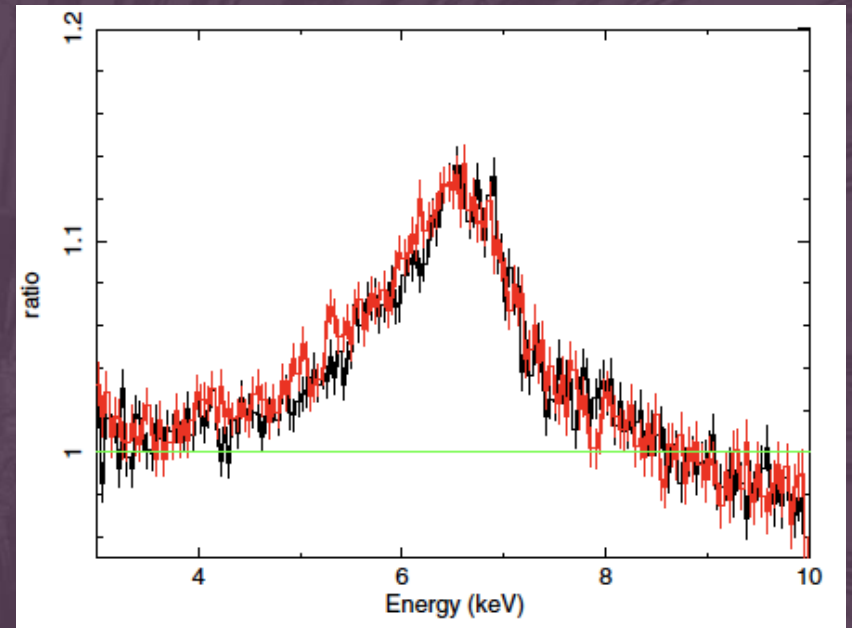
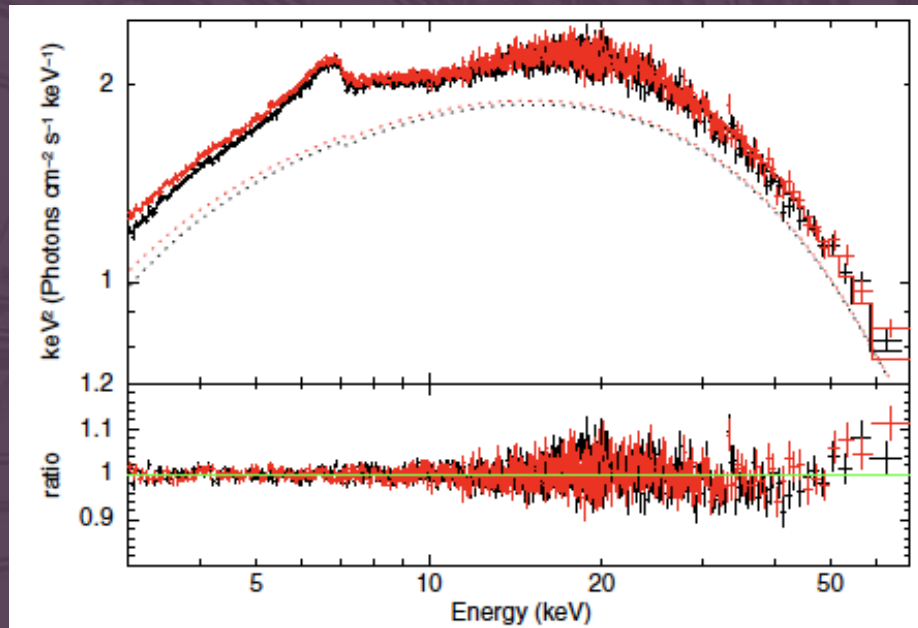
Galaxy

RA=168.09094, DEC= 0.50793, MJD=51984, Plate= 279, Fiber=343

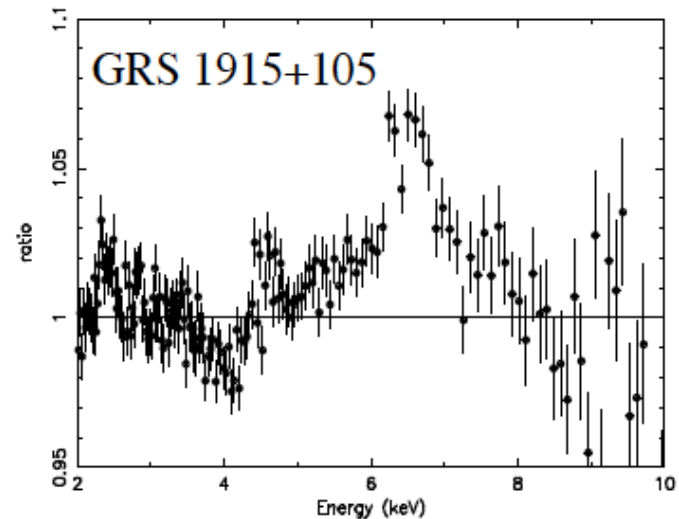
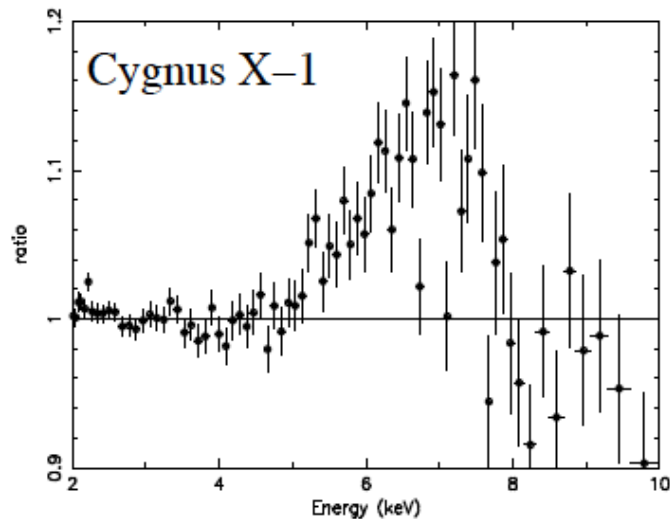
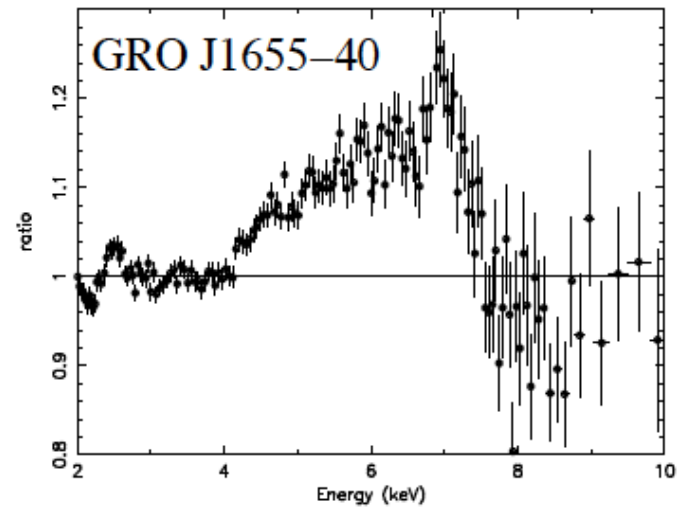
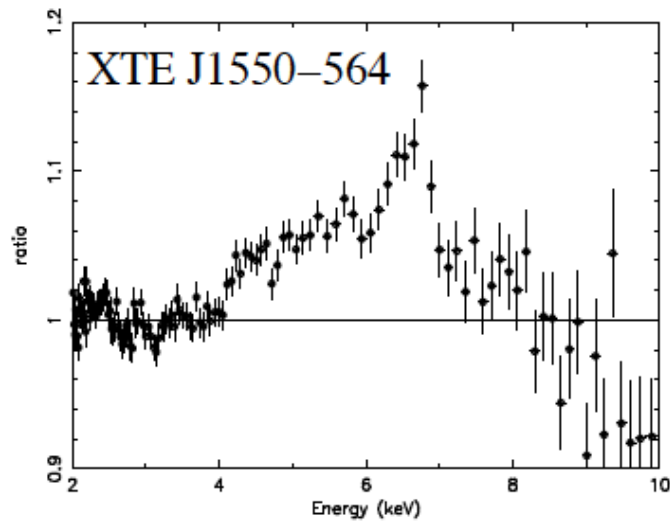


Quasar





X-ray spectra of stellar BH candidate GRS1739-278  
Miller et al. 2015

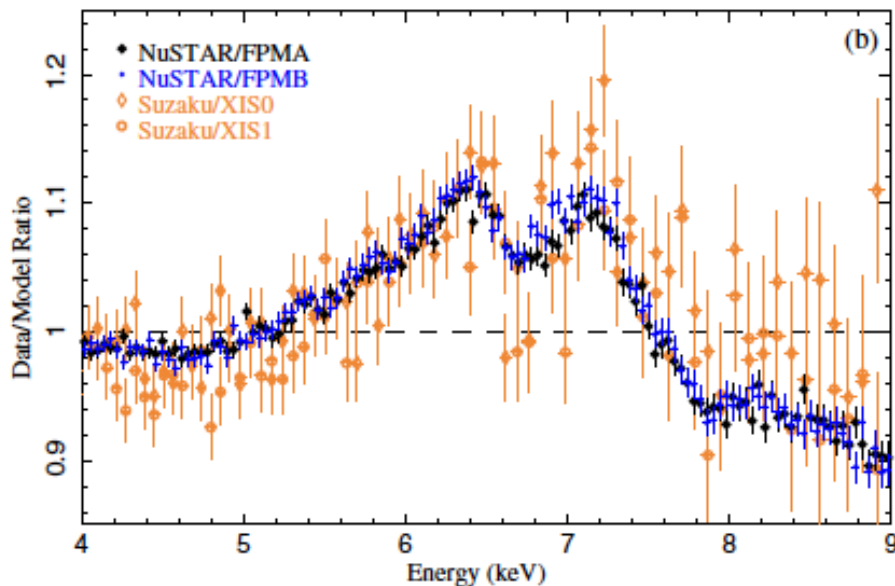
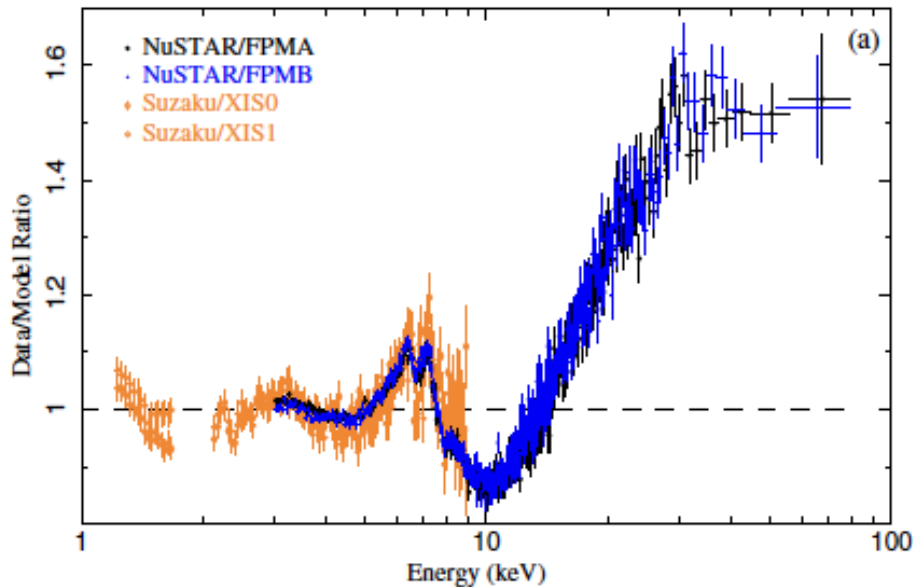


X-ray iron lines of four stellar mass black holes



X-ray spectrum of stellar mass black hole Cygnus X-1.

Broad iron line shows evidence of high BH spin and absorption at 6.7 keV.



Tomsick et al. 2014